

# Introduction to Whole-of-Government Approach

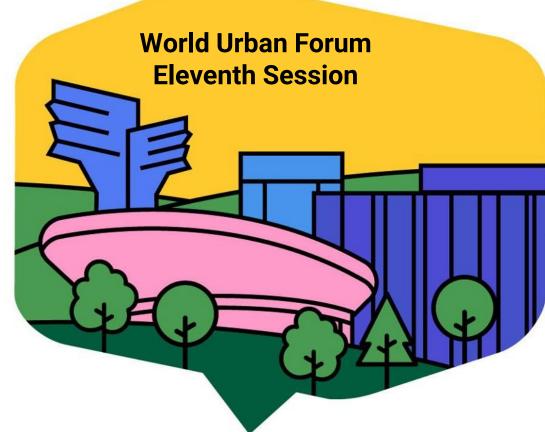
Enhancing the capacity of local and regional governments for delivering the SDGs through a Whole-of Government approach, smart cities, enhanced infrastructure asset management and resilience, monitoring & evaluation and innovative finance and partnerships

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#### Mr. Bokyun Shim Head of Office

United Nations Project Office on Governance (**UNPOG**) Division for Public Institutions and Digital Government (**DPIDG**) UN Department of Economic and Social Affairs (**UN DESA**)









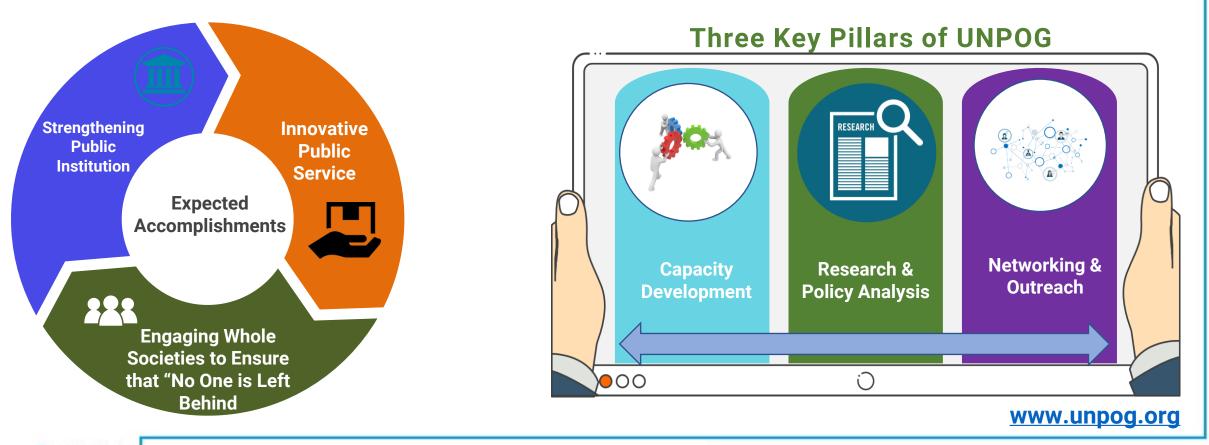






# 1. Mandate of United Nations Project Office on Governance (UNPOG)

Strengthening the capacities of public administration to translate SDGs into institutional arrangements, strategies and programmes at country-level and implement the 2030 Agenda.







# **2. Localizing the SDGs**



"process of adapting, implementing and monitoring the SDGs at the local level" (UN-Habitat, UNDP & GTF, 2015)



how local and regional governments can support the achievement of the SDGs at national level through action from the bottom up

how the SDGs can provide a framework for local development policy

#### Localizing SDGs Matters

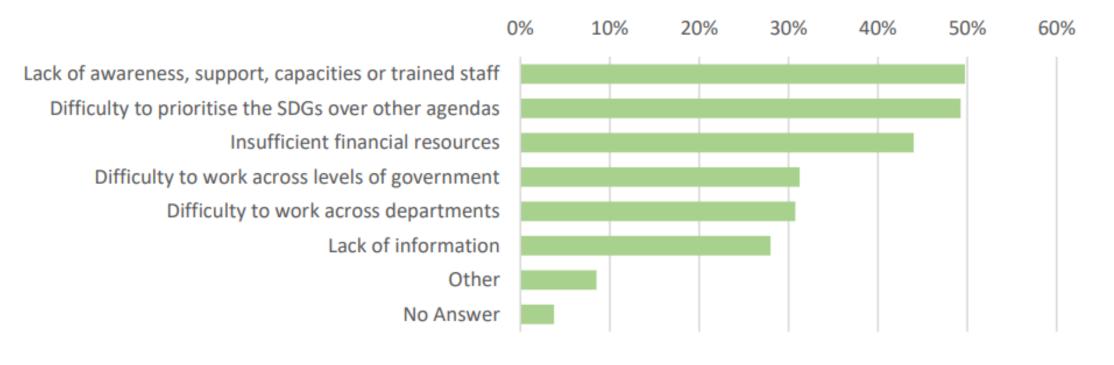
All of the SDGs have targets directly related to the **responsibilities of local and regional governments**, particularly to their role in delivering basic services





# 2. Localizing the SDGs (Cont.)





Ratio (Multiple answers possible)

Source: CoR-OECD Survey (2019) The key contribution of regions and cities to sustainable development





# 2. Localizing the SDGs (Cont.)

#### **SDG Localization Strategy**



Source: Global Taskforce of Local and Regional Governments, 2016





## 3. Whole-of-Government Approaches – An Overview

**Principles of Effective Governance for Sustainable Development** 

Effectiveness	Accountability	Inclusiveness
<ul> <li>Competence</li> <li>Sound Policy Making</li> <li>Collaboration</li> </ul>	<ul> <li>Integrity</li> <li>Transparency</li> <li>Independent Oversight</li> </ul>	<ul> <li>Leaving no one behind</li> <li>Subsidiarity</li> <li>Intergenerational Equity</li> <li>Non-discrimination</li> <li>Participation</li> </ul>

- > Collaboration, coordination, integration and dialogue across levels of government and functional areas
- Network-based governance
- Multi-stakeholder partnerships

#### The whole of government approach

- > Entails from fragmented and silo-based sector strategies to a holistic approach
- Requires bringing together relevant actors

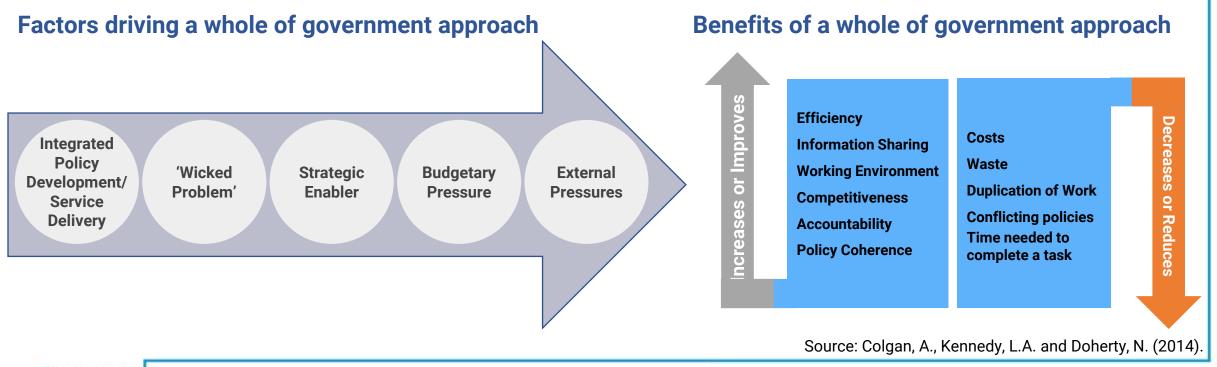




## 3. Whole-of-Government Approaches – An Overview (Cont.)

A whole-of-government is an overarching term for a group of responses to the problem of increased fragmentation of the public sector and public services and a wish to increase integration, coordination and capacity.

<u>Ling, 2002</u>







### 4. Enablers of Whole-of-Government

#### **For Policy Implementation**

	STAGE OF IMPLEMENTATION			
IMPLEMENTATION ENABLERS	Exploring and Preparing	Planning & Resourcing	Initial Implementation	Full Implementation
Stakeholder Engagement				
<u>Leadership</u>				
<b>Resources</b>				
Implementation structures & teams				
Implementation planning				
Training and Capacity Building				
Coaching and Mentoring				
Supportive organizational culture				
Communication and Dissemination				
Monitoring and Evaluation				
Continuous Improvement Cycles		Adapted from Centre for En	ffective Services' Introductory G	uide to Implementation, 2019

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## 4. Enablers of Whole-of-Government (Cont.)

Infrastructures				
Structures	Political and administrative leadership	Work processes	Culture and capacities	Support for capacity building
<ul> <li>interdepartmental committees;</li> <li>taskforces;</li> <li>interdepartmental partnerships;</li> <li>cross- departmental partnerships;</li> <li>special purpose agencies</li> </ul>	<ul> <li>the ability to shape policy implementation processes to be a better fit for community needs by reshaping mandates, systems, structures and programmes</li> <li>focus on building and sustaining relationships, managing complexity and interdependence, and managing multiple and conflicting accountabilities</li> </ul>	<ul> <li>accountability systems,</li> <li>budgets</li> <li>information management</li> <li>management of critical gaps</li> </ul>	<ul> <li>organizational culture can support or frustrate the achievement of joined-up organizational goals</li> <li>networking skills</li> <li>ability of public servants to analyze and shape their external environments and the main stakeholder interests</li> <li>Collaboration capacity</li> </ul>	<ul> <li>Development of a collaborative mindset</li> <li>Flourishing organizational capacity</li> <li>prioritize joint training and networking initiatives</li> <li>Greater staff mobility,</li> <li>Support for managing cultural differences</li> <li>Access to a panel of learning and development consultants</li> </ul>
			Source: Colgan A Kenn	edv. L.A. and Doherty, N. (2014)

Source: Colgan, A., Kennedy, L.A. and Doherty, N. (2014)





# 5. Whole-of-Government Approach for SDG Localization

VUCA	Characteristics	Managing VUCA
Volatility	Change is rapid and unpredictable in its nature and extent	<ul> <li>Counter Volatility With Vision</li> <li>Develop a clear, shared vision</li> <li>Conduct risk analysis, build in spare capacity and devote resources to preparedness</li> <li>Prepare a crisis management strategy</li> </ul>
Uncertainty	The present is unclear, and the future is uncertain	<ul> <li>Meet Uncertainty With Understanding</li> <li>Collect, interpret, and share relevant information</li> <li>Engage in multi-stakeholder collaboration</li> <li>Review and evaluate your performance</li> </ul>
Complexity	Many different, interconnected factors come into play, with the potential to cause chaos and confusion	<ul> <li>React to Complexity With Clarity</li> <li>Communicate clearly with the citizens</li> <li>Restructure, bring in or develop specialists, and increase resources adequate to address the complexity</li> <li>Identify the key stakeholders and promote collaboration</li> </ul>
Ambiguity	There is a lack of clarity or awareness about situations	<ul> <li>Fight Ambiguity With Agility</li> <li>Promote flexibility, adaptability and agility</li> <li>Encourage your people to think and work outside of their usual functional areas</li> </ul>
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#### 5. Whole-of-Government Approach for SDG Localization (Cont.) Limiting beliefs through self-assessment Through changes in the rules, diagnostic TRANSFORM environment and personal actions **BEHAVIOR IDENTIFY** CHANGE **Steps to Personal Commitments** BELIEFS The different beliefs and behaviors **Transformative** lead to better outcomes through REALIZE Mindsets case studies **ADOPT UNDERSTAND** Principles and values of the 2030 Strategies and action plan to change Agenda and 11 effective principles of mindsets through re-phrasing limiting beliefs governance through a presentation into beliefs aligned with the 2030 Agenda Source: UN DESA

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# 5. Whole-of-Government Approach for SDG Localization (Cont.)

Vision	<ul> <li>Global frameworks</li> <li>SDG localization into national institutional frameworks</li> <li>Breaking the silos</li> </ul>	Structure	<ul> <li>Institutionalized arrangements</li> <li>Whole-of-government approach</li> <li>Vertical integration</li> <li>Interdepartmental partnerships</li> </ul>
System/ Strategy	<ul> <li>Policy coherence</li> <li>National localization strategies</li> <li>Local development strategies</li> <li>Data governance</li> </ul>	Process	<ul> <li>Implementation</li> <li>Data collection &amp; management</li> <li>Business re-engineering processes</li> <li>Monitoring, evaluation and reporting</li> </ul>
People	<ul> <li>Local ownership</li> <li>Leadership</li> <li>Leaving no one behind</li> <li>Skills, Transformative mindsets</li> </ul>	Technology	<ul> <li>Digital government transformation</li> <li>Digitization of systems &amp; data</li> <li>Addressing digital divide</li> <li>Promoting digital inclusion</li> </ul>
Policy	<ul> <li>Strong legal and fiscal framework</li> <li>Financing mechanisms</li> <li>Information and data-sharing</li> <li>Ensuring effective resources</li> </ul>	Partnership	<ul> <li>Stakeholder Engagement</li> <li>Global, National &amp; Local consultation</li> <li>Solidarity</li> <li>Public-Private-People Partnerships</li> </ul>





# 5. Whole-of-Government Approach for SDG Localization (Cont.)

**Policy Recommendations** 

#### **Ensuring policy coherence in SDG implementation**

- Reform institutional arrangements to work across sectors both vertically and horizontally.
- Political leadership is needed to promote and incentivize coordination and collaboration across institutions at all levels.
- Prioritize investing in capacities of the government and other stakeholders to work collectively.

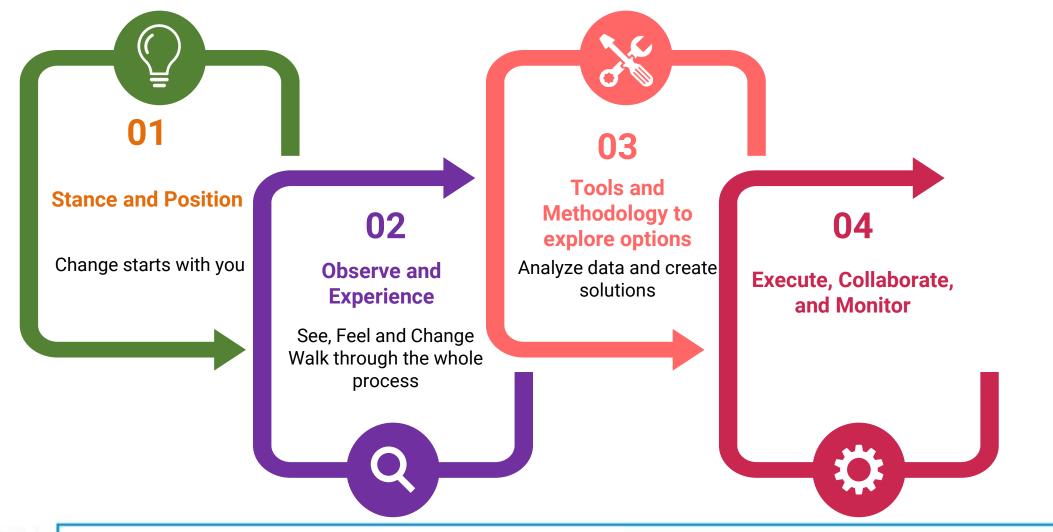
#### Involving sub-national stakeholders

- Privilege local-level consultation mechanisms on SDG prioritization, planning and monitoring.
- LRGs should use the opportunity of localizing the SDGs to pursue open government initiatives.
- LRGs need to establish local SDG implementation plans linked to national SDG/development plans.
- Local government and statistics offices need to establish baselines and monitor locally, drawing on sources of data relevant to local priorities.





## 6. Step-by-Step Actions







# 7. Curriculum on Governance for the SDGs

Public Servants' Mindsets to Implement the 2030 Agenda for Sustainable Development         UN DESA   DPIDG         Training of Trainers I English	Government Innovation for Social Inclusion of Vulnerable Groups UN DESA   DPIDG   UNPOG Training of Trainers   English
Transparency, Accountability and Ethics In Public         Institutions         UN DESA   DPIDG         Training of Trainers I English	Risk-informed Governance and Innovative Technology for Disaster Risk Reduction and Resilience UN DESA   DPIDG   UNPOG Training of Trainers I English
Effective National to Local Public Governance For SDG Implementation UN DESA   DPIDG   UNPOG Training of Trainers I English	Digit4sd: Digital Government Implementation UN DESA   DPIDG Training of Trainers I English
Institutional Arrangements and Governance Capacities for Policy Coherence UN DESA   DPIDG Training of Trainers I English	E-government for Women's Empowerment UN ESCAP & UN DESA   DPIDG   UNPOG Training of Trainers I English
Innovation and ICT for Public Service Delivery UN DESA   DPIDG Training of Trainers I English	Integrated Policies and Policy Coherence for the SDGs UNITAR, ECLAC & UN DESA   DPIDG   DSDG Training of Trainers   English
https://unpan.un.org/capacity-development/curriculum-on-governance-for-the-SDGs	For details on UN DESA/DPIDG's Toolkit, please contact: <u>unpan@un.org</u> .

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# Thank you!

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